GP1643

PATENT

Attorney Docket No.: A-66566-3/RFT/RMS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

<u>In re</u> application of:

BLACKBURN

Serial No. 09/440,371

Filed: November 12, 1999

For: BINDING ACCELERATION

TECHNIQUES FOR THE DETECTION OF ANALYTES

Examiner; NOT YET ASSIGNED

Group Art Unit: 1643

MAR 2 1 2

TECH CENTER 1600/2900

CERTIFICATE OF MAILING

I hereby certify that this correspondence, including listed enclosures, is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on:

Dated:

Signed:

Christine P. Peters

INFORMATION DISCLOSURE STATEMENT AND STATEMENT OF RELATEDNESS

Assistant Commissioner for Patents Washington, DC 20231

Sir:

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and in accordance with the provisions of 37 C.F.R. §§ 1.97 and 1.98, Applicant wishes to draw the attention of the U.S. Patent and Trademark Office to the references cited on the accompanying form PTO-1449. Since copies of references A-EEE and 1-111 were provided either by the Applicant or the Examiner in the following related

Serial No.: 09/440,371 **Filed**: November 12, 1999

MAR 2 1 2000

TECH CENTER 1600/2900

U.S. Application; Serial No. 09/134,058, filed August 14, 1998, upon which the instant application relies for its priority date, in accordance with 37 C.F.R. § 1.98(d), no copies of these references are enclosed. Copies of all other references are enclosed.

Further, in accordance with the provisions of 37 C.F.R. §§ 1.97(c) and 1.97(e)(1), the undersigned certifies that references FFF, GGG, III, JJJ, KKK, and 112 listed on the enclosed form PTO-1449 were cited in an International Search Report dated December 15, 1999, for the counterpart PCT application. As such, the filing of the instant Information Disclosure Statement is within three months of the date of that International Search Report and, therefore, need not be accompanied by the fee as set forth in 37 C.F.R. § 1.17(p). A copy of the International Search Report for the counterpart PCT application is enclosed herewith.

As required by M.P.E.P. §2001.06(b), Applicant notes that the present application is related to the following:

- 1. United States Serial No.09/134,058, filed August 14, 1998 and U.S.S.N. 09/338,726, filed June 23, 1999.
- United States Serial No. 08/743,798, filed November 5, 1996, U.S.S.N. 08/873,978, filed June 12, 1997; U.S.S.N. 08/889,510, filed July 24, 1997; and U.S.S.N. 08/911,085, filed August 14, 1997.
- 3. United States Serial No. 08/873,597 filed June 12, 1997; and U.S.S.N. 08/911,589, filed August 14, 1997.
 - 4. United States Serial No. 09/096,593, filed June 12, 1998.

Serial No.: 09/440,371 **Filed**: November 12, 1999

Patent Nos. 6,013,459 and 6,013,170, both of which issued on January
 11, 2000; and United States Serial No. 09/417,988, filed October 13, 1999.

6. U.S.S.N. No. 09/014,304, filed January 27, 1998; U.S.S.N. No. 09/135,183, filed August 17, 1998; U.S.S.N. No. 09/238,351, filed January 27, 1999; U.S.S.N. No. 09/245,105, filed January 27, 1999; U.S.S.N. No. 09/295,691, filed April 21, 1999; U.S.S.N. No. 09/306,653, filed May 6, 1999; U.S.S.N. No. 09/452,277, filed December 3, 1999; U.S.S.N. No. 09/397,957, filed September 17, 1999; U.S.S.N. No. 09/428,155, filed October 27, 1999; U.S.S.N. No. 09/472,657, filed December 27, 1999; and lastly an application filed January 21, 2000, Plaxco, et al., entitled "Biosensors Utilizing Ligand Induced Conformation Changes."

None of the foregoing references are believed to disclose the invention as claimed. Nothing herein shall constitute an admission concerning the contents of any of the cited references, nor shall the inclusion of a reference herein be considered an admission that the reference constitutes prior art against the invention claimed in the above-identified application. Submission of the present document shall not be construed as an admission that a search has been made or that better art does not exist.

Although no fee is believed to be due, the Commissioner is authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-1300 (Our Order No. A-66566-3/RFT/RMS).

Serial No.: 09/440,371 **Filed**: November 12, 1999

An additional copy of this Information Disclosure Statement is enclosed.

Respectfully submitted,

FLEHR, HOHBACH, TEST, ALBRITTON & HERBERT

Dated: 3/13/00

Robin M. Silva Reg. No. 38,304

Four Embarcadero Center Suite 3400 San Francisco, CA 94111-4187 Telephone: (415) 781-1989

1008818

SHEET 1 OF 11

INFORMATION DISCLOSURE CITATION				ATTY. DOCKET NO. A-66566-3/RFT/RMS, RMK	SERIAL NO. 09/440,371					
				ARPLICADT CELACKBURN et al.						
MAR 17	MAR 1 7 2000 PTO-1449 MAR + 200			FILING DATE GROUP November 12, 1999 1643						
CATAL	CALIFFI	inche trans	3 37 X	FWU.S PATE	NT DOCUMENTS	i i	- 1814			
EXAMINER'S		PATENT NO.	DATE		NAME	CLA				DATE
	Α	4,707,352	11/17/87	Stavrianopou		CLA	133	SUBCLASS		<u> </u>
	В	4,707,440	11/1987	Stavrianopou	los	435		6		
	С	4,711,955	12/8/87	Ward, et al.						1805
	D	4,755,458	7/5/88	Rabbani, et a	I.					
	Ε	4,849,513	7/18/89	Smith, et al.		536		27		
	F	4,868,103	9/19/89	Stavrianopou	los, et al.					
	G	4,894,325	1/16/90	Englehardt, e	t al.					
	Н	4,943,523	7/24/90	Stavrianopoul	los					
	ı	4,952,685	8/28/90	Stavrianopoul	os					-
	J	4,994,373	2/19/91	Stavrianopoul	os					
	K	5,002,885	3/26/91	Stavrianopoul	os					
	L	5,013,831	5/7/91	Stavrianopoul	os					
				FOREIGN PAT	ENT DOCUMENTS					8 4
EXAMINER'S INITIALS		PATENT NO.	DATE		OUNTRY	0.40		0.1.2.0.1.2.2	Transla	ation
	М	0 063 879	11/3/82	Europe	CONTRY	CLAS	55	SUBCLASS	Yes	No
	N	92/10757	6/25/92	wo						
	0	95/15971	6/15/95	wo						
	Р	0 234 938	2/26/87	EP (A2)						
	Q	93/10267	5/27/93	wo			\dashv			
	R	2,090,904	9/24/93	Canada						
		0 599 337		EP (A2)			\dashv			
							1			
EXAMINER DA				DA	TE CONSIDERED				-	

INFORMATION DISCLOSURE CITATION PTO-1449				ATTY. DOCKET NO. A-66566-3/RFT/RMS RMK	SERIAL NO. 09/440,371					
MAR 1	¹ 3000 ,	CITAT	ION	ATE 200	BLACKBURN et al.					
	Ş	₹ PTO-14		ALCE!	FILING DATE November 12, 1999		GRO 164			
1 32 1	T & TRIP			PATE	NT DOCUMENTS					
EXAMINER'S INITIALS		PATENT NO.	DATE		NAME	CLA	99	SUBCLASS	FILING	
	T	5,082,830	1/21/92	Brakel, et al.		J JEZY		OUBCLASS		
	U	5,175,269	12/29/92	Stavrianopou	los					
	V	5,241,060	8/31/93	Englehardt, e	t al.					
	W	5,278,043	1/11/95	Bannwarth, e	t al.	536		23.1		
	Х	5,312,527	5/17/94	Mikkelsen, et	al.	204	_	153.12		
	Υ	5,328,824	7/12/94	Ward, et al.			-			
	Z	5,449,767	9/12/95	Ward, et al.						
	AA	5,472,881	12/5/95	Beebe, et al.		436		94		
	BB	5,476,928	12/19/95	Ward, et al.						
	СС	5,595,908	1/21/97	Fawcett, et a	l.	534		11		
	DD	5,565,552	10/15/96	Magda, et al.		534		11		
	EE	5,573,906	11/12/96	Bannwarth, e	t al.	435		6		
	FF	5,591,578	1/7/97	Meade, et al.		435		6		
	GG	5,601,982	2/1997	Sargent, et al		435		6		
			FC	REIGN PAT	ENT DOCUMENT	S				
EXAMINER'S INITIALS		PATENT NO.	DATE	C	OUNTRY	CLAS	s	SUBCLASS	Translat Yes	T —
	HH	238,166	1988	JP (Abstract 6				33232,100	165	No
	=	0 229 943	7/29/87	EP (B1)			1			
	JJ	96/40712	12/19/96	wo						
	KK	0515615	9/4/96,	EP UK			1			
	LL	97/01646	1/16/97	wo			7			
	ММ	93/23425	11/25/93	wo						
VANALED.										
XAMINER				DA	TE CONSIDERED					
MINER: Initial if re	ference co	onsidered, whether or	not citation is in co	nformance with MPEP 6	09; draw line through citation if no	t in confe	rmane			

ATTY, DOCKET NO. SERIAL NO. A-66566-3/RFT/RMS/ 09/440,371 ₩ATION DISCLOS **RMK** APPLICATION ET al. MAR 1 7 2000 TO-1449 FILING DATE **GROUP** November 12, 1999 1643 PATENT A TO PATENT DOCUMENTS 47 **EXAMINER'S** FILING DATE **INITIALS** PATENT NO. DATE NAME **CLASS SUBCLASS** NN 4,840,893 6/20/89 Hill et al. 435 6 00 5,403,451 4/4/95 Riviello et al. 204 153.1 PP 5,620,850 4/15/97 Bamdad et al. 530 300 5,780,234 QQ7/14/98 Meade et al. 435 6 RR 5,770,369 6/23/98 Meade et al 435 6 SS 5,705,348 1/6/98 Meade et al. 435 6 TT 5,705,346 1/6/98 Okamoto et al. 435 6 UU 5,571,568 11/5/96 Ribi et al. 427 487 VV 5,156,810 6/15/89 Ribi 422 82.01 ww 5,491,097 2/13/96 Ribi et al. 436 518 XX 5,776,672 7/7/98 Hashimoto et al. 435 6 YY 5,605,662 2/1997 Heller et al. 422 68.1 ZZ 5,632,957 6/1997 Heller et al. 422 68.1 FOREIGN PATENT DOCUMENTS **EXAMINER'S** Translation **INITIALS** PATENT NO. DATE COUNTRY SUBCLASS **CLASS** Yes No AAA 90/05732 5/31/90 WO BBB 94/22889 10/13/94 WO CCC 97/01646 01/16/97 wo DDD 98/35232 8/13/98 WO EEE 98/04740 2/5/98 WO

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 8085 1449A.FRM (8/95)

DATE CONSIDERED

EXAMINER

MAR 1	7 2000	CITATIO	ISCLOSU ON ON ON MARIE	- 40	ATTY. DOCKET NO. A-66566-3/RFT/RMS/ RMK APPLICANT BLACKBURN et al. FILING DATE November 12, 1999					
CATEN	14.701		and the second s		NT DOCUMENTS	13			*	Say
EXAMINER' S INITIALS		PATENT NO.	DATE		NAME		ASS	SUBCLASS	FILING	DATE
	FFF	4,787,963	11/1988	MacConne	11					
	GGG	5,015,569	5/1991	Pontius			-			
	ннн	5,582,984	12/1996	Bieniarz et	al.					
	III	5,843,767	12/1998	Beattie						
			1	FOREIGN PA	TENT DOCUMENTS					
EXAMINER' S INITIALS		PATENT	DATE		COUNTRY	Cī	ASS	CIDCI ACC	Transla	ıtion
		NO.			COUNTRY	CL	433	SUBCLASS	Yes	No
	JJJ	98/20162	5/1998	PCT					is.	
	KKK	96/40712	12/1996	PCT						
	LLL	99/14596	3/1999	PCT						
	MMM	0 229 442	7/1987	EPO						
	NNN	95/11755	5/1995	PCT			_			
						l				1
										
									·	_
										+
		<u> </u>								
										
				*						
		7								
EXAMINER		,		DA	TE CONSIDERED					

₩ _E	o kív	MATION DISCLOSURE TO	ATTY. DOCKET NO. A-66566-3/RFT/RMS/ RMK	SERIAL NO. 09/440,371
MAR 1	7 2000	PTO 1449	BLACKBURN et al.	
The state of the s	· 	5 P10-1449	FILING DATE	GROUP 1643
Arm		OTHER DOCUMENTS (Including	Author, Title, Date, Pertine	ent Pages, Etc.)
	1	Albers, W. M., et al., "Design of Novel Mo Bioeletrochemistry, 42:25-33 (1997).	Molecular Wires for Realizing Lo	ong-Distance Electron Transfer,"
	2	Allerman, K.S., et al., "Eletrochemical Rec 17050-17058 (1996).		
	3	Aizawa, M., et al., "Intergrated Molecular S 1:1-5 (March 1995).		
	4	Arkin, M., et al., "Evidence for Photoelectr Abstracts, 6th International Conference on	n Bioinorganic Chemistry, 51(1)) & (2):526 (1993).
	5	Barisci, et al., "Conducting Polymer Sensor	ors," TRIP, 4(9):307-311 (1996)).
	7	Baum, R. M., "Views on Biological, Long-	-Range Electron Transfer Stir D	Debate," C&EN, pp 20-23 (1993).
		Bechtold, R., et al., "Ruthenium-Modified I Intramolecular Electron Transfer between F	Ruthenium(II) and Heme(III),"	J. Phys. Chem., 90(16):3800-3804 (1986)
	8	sensors. A Review.," Sensors and Actuator	ymers: new sensitive matrices to prs, B6:45-56 (1992).	o build up chemical or electrochemical
	9	Biotechnology and Genetics: Genetic Scree	ening Integrated Circuit," The E	Economist (February 25-March 3, 1995).
	10	Boguslavsky, L. et al., "Applications of red	dox polymers in biosensors," So	olid State Ionics 60:189-197 (1993)
	11	Bowler, B. E., et al., "Long-Range Electron in Inorganic Chemistry: Bioinorganic Chemistry:	n Transfer in Donor (Spacer) Acemistry, 38:259-322 (1990).	cceptor Molecules and Proteins," Progress
		Brun, A. M., et al., "Photochemistry of Inter8159 (1991).	ercalated Quaternary Diazaarom	
	13	Bumm, et al., "Are Single Molecular Wires	S Conducting?," Science 271:17	/05-1707 (1996).
	14	Cantor, C.R. et al., "Report on the Sequenci	cing by Hybridization Workshop	p," Genomics, 13:1378-1383 (1992)
	15	Carr, J.D., et al., "Novel Electrochemical Se	Sensors for neutral Molecules," (Chem. Commun., 1649-1550 (1997)
	16	Carter, et al., "Voltammetric Studies of the I Cobalt (III) and Iron (II) with 10-Phenantho	e Interaction of Metal chelates was orile and 2,3'-Bipyridine," J. An	with DNA. 2. Tris- Chelated Complexes of m. Chem. Soc., 11"8901-8911 (1989)
	1/	Chang, I-Jy, et al., "High-Driving-Force Ele Ferrocytochrome c by Ru(2,2'-bpy) ₂ (im)(His	lectron Transfer in Metalloprotei lis-33) ³⁺ ," J. Am. Chem. Soc., 11	eins: Intramolecular Oxidation of 13:7056-7057 (1991)
	18	Chidsey, C.E.D., et al., "Free Energy ar Electrolyte Interface," <i>Science</i> , 251:919-923	and Temperature Dependence 23 (1991).	e of Electron Transfer at the Metal
		Chidsey, et al., "Coadsorption of Ferrocene-Assembled Monolayers," J. Am. Chem. Soc.,	<u>c., 112:4301-4306 (1990).</u>	
	20	Chrisey, et al., "Covalent attachment of synthesis 24(15):3031-3039 (1996).	nthetic DNA to self-assembled m	nonolayer films," Nucleic Acids Research,
		Clery, "DNA Goes Electric," Science, 267:1:		
	22	Commerce Business Daily Issue of September	per 26, 1996 PSA#1688.	
	23	DATABASE WPI, Derwent Publications Ltd DENKI KK), 4 October 1988.	d., London, GB; AN 88-32019	9 & JP, A, 53 238 166 (MITSUBISHI
EXAMINER		DA	ATE CONSIDERED	

NE NE	OF!	MATION DISCLOSTRE	ATTY. DOCKET NO. A-66566-3/RFT/RMS/ RMK	SERIAL NO. 09/440,371		
MAR 1	7 2000	CITATION OF PTO-1449 AIL ON THE CANE	APPHONT BLACKBURN et al.			
		age of the state o	FILING DATE November 12, 1999	GROUP 1643		
PATENT	-	OTHER DOCUMENTS (including	Author, Title, Date, Pertin	ent Pages, Etc.		
	24	Davis, L. M., et al., "Electron Donor Prope Quenching of DNA-Bound Ethidium," Che	rties of the Antitumour Drug A emBiol. Interactions, 62:45-5	Amsacrine as Studied by Fluorescence 8 (1987)		
	25	Davis, L. M., et al., "Elements of biosensor	construction," Enzyme Micro	h Technol 17:1030-1035 (1005)		
	26	Methods for Bonding Electron-Transfer Re 110:2615-2620 (1988).	ation between Chemically Mo lays to Glucose Oxidase and I	dified Enzymes and Metal Electrodes. 2. O-Amino-Acid Oxidase," <i>J. Am. Chem. Society</i> .		
	27	Degani, Y., et al., "Electrical Communication Electrostatically and Covalently Bound Recommunication of the Property of the Pr	iox Polymers," J. Am. Chem. S	Soc. 111:2357-2358 (1080)		
	28	Degani, Y., et al., "Direct Electrical Communication between Chemically Modified Enzymes and Metal Electrodes. 1. Electron Transfer from Glucose Oxidase to Metal Electrodes via Electron Relays, Bound Covalently to the Enzyme," <i>J. Phys. Chem.</i> , 91(6):1285-1288 (1987).				
	29	Deinhammer, R.S., et al., "Electronchemica Modification of glassy carbon electrodes," I	Oxidation of Amine-containi Langmuir, 10:1306-1313 (1994)	4).		
	30	Dreyer, G. B., et al., "Sequence-specific clear Proc. Natl. Acad. Sci. USA, 82:968-972 (19	avage of single-stranded DNA 85).	: Oligodeoxynucleotide-EDTA·Fe(II),"		
	31	Durham, B., et al., "Photoinduced Electron-Transfer Kinetics of Singly Labeled Ruthenium Bis(bipyridin) Dicarboxybipyridine Cytochrome c Derivatives," <i>Biochemistry</i> , 28:8659-8665 (1989).				
	32	Durham, B., et al., "Electron-Transfer Kinetics of Singly Labeled Ruthenium(II) Polypyridine Cytochrome c Derivatives," <i>American Chemical Society</i> , pages 181-193 (1990).				
	33	Elias, H., et al., "Electron-Transfer Kinetics Derivative," J. Am. Chem. Soc., 110:429-43-	of Zn-Substituted Cytochrome 4 (1988).			
	34	Farver, O., et al., "Long-range intramolecula 6972 (1989).				
	35	Fox, L. S., et al., "Gaussian Free-Energy De 247:1069-1071 (1990).	pendence of Electron-Transfer	Rates in Iridium Complexes," Science,		
	36	Fox, M. A., et al., "Light-Harvesting Polyme	r Systems," C&EN, pages 38-	48 (March 15, 1993).		
	3/	Francois, J-C., et al., "Periodic Cleavage of Poly(dA) by Oligothymidylates Covalently Linked to the 1,10- Phenanthroline-Copper Complex," <i>Biochemistry</i> , 27:2272-2276 (1988)				
	$\overline{}$	Friedman, A. E., et al., "Molecular 'Light Switch' for DNA: Ru(bpy) ₂ (dppz) ²⁺ ," J. Am. Chem. Soc., 112:4960-4962 (1990).				
	-	Fromherz, P., et al., "Photoinduced Electron Transfer in DNA Matrix from Intercalated Ethidium to Condensed Methylviologen," J. Am. Chem. Soc., 108:5361-5362 (1986).				
	40	Gardner, et al., "Application of conducting polymer technology in microsystems," Sensors and Actuators, A51:57-66 (1995).				
	41	Gregg, B. A., et al., "Cross-linked redox gels <i>Anal. Chem.</i> , 62:258-263 (1990).	containing glucose oxidase fo	r amperometric biosensor applications,"		
XAMINER		DAT	E CONSIDERED			

				SHEET / OF TI		
JAH.	ORI	MATION DISCLOSURE CITATION PTO-1449 MATION PTO-1449	ATTY. DOCKET NO. A-66566-3/RFT/RMS/ RMK	SERIAL NO. 09/440,371		
MAR	1 7 2000	PTO-1449	PRICANT BLACKBURN et al.			
Par		OAL DAIL	FILING DATE November 12, 1999	GROUP 1643		
1	VT A TRA	OTHER DOCUMENTS Including	Author, Title, Date, Pertine	nt Pages, Etc.)		
	42	Gregg, B. A., et al., "Redox Polymer Films Containing Enzymes. 1. A Redox-Conducting Epoxy Cement: Synthesis, Characterization, and Electrocatalytic Oxidation of Hydroquinone," <i>J. Phys. Chem.</i> , 95:5970-5975 (1991).				
	43	Hashimoto, et al., "Sequence-Specific Gene Electrochemically Active Dye," Anal. Cher	n. 00.3830-3833 (1994).			
	44	Hegner, et al., "Immobilizing DNA on gold solutions," FEBS 336(3):452-456 (1993).	l via thiol modification for ator			
	45	Heller, A., et al., "Amperometric biosensors and Actuators, 13-14:180-183 (1993).				
 -	46	Heller, A., "Electrical Wiring of Redox Enz	cymes," Acc. Chem. Res., 23:12	28-134 (1990).		
	47	Heller et al., "Fluorescent Energy Transfer 248.	Oligonucleotide Probes," Fed.	Proc. 46(6):1968 (1987) Abstract No.		
	48	Ho "DNA-Mediated Electron Transfer and Research (Report Date: July 25, 1991) 1-4,	Application to 'Biochip'Devel RR04106.	opment," Abstract. Office of Naval		
	49	Hobbs et al., "Polynucleotides Containing 2 12(25):5138-5145 (1973).	'-Amino-2'deoxyribose and 2'-	Azido-2'-deoxyriose," Biochemistry,		
	50	Hsung, et al., "Synthesis and Characterization Organometallics, 14:4808-4815 (1995).	on of Unsymmetric Ferrocene-	Terminated Phenylethynyl Oligomers,"		
	51	Hsung, et al., "Thiophenol Protecting Group Conjugated Arylthiols," Tetrahedron Letters	os for the Palladium-Catalyzed	Heck Reaction: Efficient Syntheses of		
	52	Jenkins et al., "A Sequence-Specific Molecu Dipyridophenazine Complex of Ruthenium	llar Light Switch: Tehhering of	f an Oligonucleotide to a		
	53	Johnston, D.H., et al., "Trans-Dioxorhenium Electrodes: Voltammetric Detection of DNA	(V)- Mediated Electrocatalyti	Ovidation CDNA T II		
	54	Katritzky, et al., "Pyridylethylation - A New <i>Letters</i> ,25(12):1223-1226 (1984).	Protection Method for Active	Chem. 33:6388-6390 (1994). Hydrogen Compounds," Tetrahedron		
	55	Kelley, S.O. and J.K. Barton, "Electrochemis Bioconjugate Chem., 8:31-37 (1997).	stry of Methylene Blue Bound	to a DNA-Modified Electrode,"		
	56	Kojima et al., "A DNA Probe of Ruthenium Bipyridine Complex Using Photocatalytic Activity," Chemistry Letter, pp 1889-1982 (1989).				
	57	Korri-Youssoufi, H., et al., "Toward Bioelectronics: Specific DNA Recognition Based on an Oligonucleotide-Functionalized Polypyrrole," <i>J. Am. Chem.</i> 119:(31) 7388-7389 (1997).				
	58	Laviron, E., "A.C. Polarography and Faradaic Impedance of Strongly Adsorbed Electroactive Species. Part I: Theoretical and Experimental Study of a Quasi-Reversible Reaction in the Case of a Langmuir Isotherm," J. Electroanal. Chem., 97:135-149 (1979).				
	59					
EXAMINER	 _		E CONSIDERED			

INFORI	MATION DISCLOSURE CONTINUE CON	ATTY. DOCKET NO. A-66566 2/RFT/RMS/ RMK	SERIAL NO. 09/440,371					
O P E JO	CITATION (C)	APPLICANT BLACKBURN et al.						
MAR 1 7 2000	A Constant	FILING DATE November 12, 1999	GROUP 1643					
Par year	OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)							
60	(1994).		Strands of DNA," Science, 266:771-773					
61	organosilane Reagent" J. Electronal. Ch.	em., 78:195-201 (1977).						
62	[Rh(phi) ₂ dppz] ³⁺ to DNA," J. Am. Chem.	. Soc., 119:1454-1455 (1997).						
63	Lipkin "Identifying DNA by the Speed of	of Electrons," Science News, 147	(8):117 (1995).					
64	Maskos, et al., "Oligonucleotide hybridis hybridisation properties of oligonucleotic	sations on glass supports: a nove des synthesised in situ," Nucleic	l linker for oligonucleotide synthesis and Acids Research, 20(7):1679-1684 (1992)					
65	Mazzocchi, Ph.H. and G. Fritz, "Photolysis of N-(2-Methyl-2-Propenyl)phthalimide in Methanol. Evidence Supporting Radical-Radical Coupling of a Photochemically Generated Radical Ion Pair," <i>Journal of the American Chemical Society</i> , 108(18):5361-5362 (1986).							
66	Chem., 61.781-783 (1996).	McGee, et al., "2'-Amino-2'-deoxyuridine via an Intramolecular Cyclization of a Trichloroacetimidate," J. Org. Chem., 61:781-785 (1996).						
67	Meade, T. J., "Driving-Force Effects on t Cytochrome c," J. Am. Chem. Soc., 111:4	Meade, T. J., "Driving-Force Effects on the Rate of Long-Range Electron Transfer in Ruthenium-Modified Cytochrome c," J. Am. Chem. Soc., 111:4353-4356 (1989).						
68	Meade, T. J., et al., "Electron Transfer th Donors and Acceptors," Angew Chem. In	rough DNA: Site-Specific Modif	fication of Duplex DNA with Ruthenium					
69	Mestel, "Electron Highway' Points to Id	lentity of DNA," New Scientist, p	. 21 (1995).					
70	Millan, et al., "Voltammetric DNA Biose <i>Anal. Chem.</i> , 66:2943-2948 (1994).	Millan, et al., "Voltammetric DNA Biosensor for Cystic Fibrosis Based on a Modified Carbon Bosto Floatrado."						
71	Millan, K.M., et al., "Covalent Immobiliz (1992).	zation of DNA onto Glassy Carbo	on Electrodes," Electroanalysis, 4:929-932					
72	Millan, K.M. and Mikkelsen, S.R., "Sequ Indicators," <i>Anal. Chem.</i> , 65:2317-2323 (nence-Selective Biosensor for DN (1993).	A Based on Electroactive Hybridization					
73	Miller, C., "Absorbed ω-Hydroxy Thiol Monolayers on Gold Electrodes: Evidence for Electron Tunneling to Redox Species in Solution," <i>J. Phys. Chem.</i> , 95:877-886 (1991).							
74	Murphy, C. J., et al., "Long-Range Photoi 1029 (1993).	Murphy, C. J., et al., "Long-Range Photoinduced Electron Transfer Through a DNA Helix "Science 262:1025						
75	Mucic, R.C., et al., "Synthesis and Charac	Mucic, R.C., et al., "Synthesis and Characterizsation of DNA with Ferrocenyl Groups Attached to Their 5'-Termini: Electrochemical Characterization of a Redox-Active nucleotide Monolayer," <i>Chem. Commun.</i> , 555-557 (1996).						
76	Napier, M.E., et al., "Probing Bionolecule Tecognition with Electron Transfers Electrochemical Sensors for DNA Hybridization," <i>Bioconjugate Chem</i> 8:905-913 (1997).							
77	Orellana, G., et al., "Photoinduced Electro	Orellana, G., et al., "Photoinduced Electron Transfer Quenching of Excited Ru(II) Polypyridyls Bound to DNA: The Role of the Nucleic Acid Double Helix," <i>Photochemistry and Photobiology</i> , 54(4):499-509 (1991).						
78	Palecek, "From Polarography of DNA to 18(1):7-14 (1996).	Microanalysis with Nucleic Acid	-Modified Electrodes," <i>Electroanalysis</i> .					
EXAMINER		ATE CONSIDERED						

INFOR	MATION DISCLOSURE	ATTY, DOCKET NO.	SERIAL NO. 09/440,371					
/0	DOD E	APPLICANT BLACKBURN et al.						
MAR 1	NAME CUE	FILING DATE November 12, 1999	GROUP 1643					
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
79	(Ividy 1775).	Paterson, "Electric Genes: Current Flow in DNA Could Lead to Faster Genetic Testing," Scientific American, 33-34 (May 1995).						
80	241:1645-1649 (1988).							
8	(1775).		The Brooker Ions," BioSystems 35:107-111					
82	(1980).							
83	Risser, S. M., et al., "Electron Transfer in Donor-Acceptor Distance," J. Am. Chem. S	Risser, S. M., et al., "Electron Transfer in DNA: Predictions of Exponential Growth and Decay of Coupling with Donor-Acceptor Distance," <i>J. Am. Chem. Soc.</i> , 115(6):2508-2510 (1993).						
84	Sato, Y., et al., "Unidirectional Electron Tr	Sato, Y., et al., "Unidirectional Electron Transfer at Self-Assembled Monolayers of 11-Ferrocenyl-1-undecanethiol on Gold," <i>Bull. Chem. Soc. Jpn.</i> , 66(4):1032-1037 (1993).						
85	Satyanarayana, S., et al., "Neither Δ - nor Λ	Satyanarayana, S., et al., "Neither Δ - nor Λ -Tris(phenanthroline)ruthenium(II) Binds to DNA by Classical Intercalation," <i>Biochemistry</i> , 31(39):9319-9324 (1992).						
86	Schlereth, D.D., et al., "Self-Assembled Mo	Schlereth, D.D., et al., "Self-Assembled Monolayers with Biospecific Affinity for NAD (H)-Dependent Dehydrogenases: Characterization by Surface Plasmon Resonance Combined with Electrochemistry, in situal 2.						
87	Schreiber, et al., "Bis(purine) Complexes of and Mixed 9-Methyladenine, 9-Methylguan	Schreiber, et al., "Bis(purine) Complexes of <i>trans</i> -a ₂ Pt ^{II} : Preparation and X-ray Structures of Bis(9-methyladenine) and Mixed 9-Methyladenine, 9-Methylguanine Complexes and Chemistry Relevant to Metal-Modified Nucelobase Triples and Quartets," <i>J. Am. Chem. Soc.</i> 118:4124-4132 (1996).						
88	Schuhmann, W., et al., "Electron Transfer b with Flexible Chains to the Enzyme Surface	etween Glucose Oxidase and	Electrodes via Redox Mediators Bound					
89	Schumm, et al., "Iterative Divergent/Conver	Schumm, et al., "Iterative Divergent/Convergent Approach to Linear Conjugated Oligomers by Successive Doubling of the Molecular Length: A Rapid Route to a 128 Å-Long Potential Molecular Wire." Angew Cham Int. Ed. Fred.						
90	Sigel, G.B., et al., "A Self-Assembled Mono Plasmon Resonance," Analytical Chemistry	Sigel, G.B., et al., "A Self-Assembled Monolayer for the Binding and Study of Histidine-Tagged Proteins by Surface Plasmon Resonance," <i>Analytical Chemistry</i> 68:(3) 490-497 (1996).						
91	Southern, E.M., et al., "Arrays of Compleme	Southern, E.M., et al., "Arrays of Complementary Oligonucleotides for Analysing the Hybridisation Hehavior of nucleic Acids," <i>Nucleic Acids Research</i> 22:(8) 1368-1373 (1994).						
92	Strobel, S. A., et al., "Site-Specific Cleavage Formation," <i>Science</i> , 249:73-75 (1990).		Oligonucleotide-Directed Triple-Helix					
93	Su, et al., "Interfacial Nucleic Acid Hybridization Studied by Random Primer ³² P Labelling and Liquid-Phase Acoustic Network Analysis," <i>Analytical Chemistry</i> , 66(6):769-777 (1994).							
EXAMINER	DA'	TE CONSIDERED						

INFOR	MATION DISCLOSURE CITATION PTO-1449	ATTY. DOCKET NO. A-66566-3/RFT/RMS/ RMK	SERIAL NO. 09/440,371
MAR 172	CITY A A A A LEVEL	BLACKBURN et al. FILING DATE November 12, 1999	GROUP 1643
	OTHER DOCUMENTS THE LUGING	Author, Title, Date, Pertine	ant Pages, Etc.)
94	Takeda, H., et al., "Preparation of 1-Alkyn Asdsembled Monolayers," <i>Tetrahedron Le</i>	nyl 2-(Trimethylsilyl)ethyl Sulfi etters 39:3701-3704 (1998).	fides as Thiolare Anion Precursors for Self-
95	and Time-Resolved Optical Spectroscopies	s," J. Am. Chem. Soc., 111:7220	hesis and Characterization by Steady-State 26-7232 (1989).
96		plexes Containing a Covalently Characterization by Thermodyna	Attached Derivative of Tric(2.2)
97	Thara, T., et al., "Gene Sensor using Ferrod	cenyl Oligonucleotide," Chem.	Commun., 1609-1610 (1997).
98	Tour, "Conjugated Macromolecules of Pred Nanoarchitectures," <i>Chem. Rev.</i> , 96:537-55	ecise Length and Constitution. (53 (1996).	Organic Synthesis for the Construction of
99	Containing Adsorbates. Understanding Att Chem. Soc., 117:9529-9534 (1995).	ttachments between Potential M	Molecular Wires and Gold Surfaces," J. Am.
100	Tullius, T.D. and B.A. Dombroski, "Iron(II <i>Science</i> , 230:679-681 (1985).		
101	Storage Sol. Energy, Proc. Int. Conf., 8th, p	pp 121-139 (1990).	
102		and Chemistry in Restricted Ro	eaction Spaces. Photophysics and and DNA," Acc. Chem. Res., 24:332-340
103	the Reduction of Fe(III)-EDTA in Solution,	<u>1," Electrochemica Acta.,</u> 36(11)	l/12):1799-1801 (1991).
104	Van Ness, J., et al., "A Versatile Solid Supp Assays," <i>Nucleic Acids Research</i> , 19(12):33	port System for Oligodeoxynuc 345-3349 (1991).	cleotide Probe-Based Hybridization
105	Weber, et al., "Voltammetry of Redox-Active Marcus Relation between Rate and Overpote	ive Groups Irreversibly Adsorbetential," <i>Anal. Chem.</i> , 66:3164-	-3172 (1994).
106	Williams, et al., "Studies of oligonucleotide duplex yield," Nucleic Acids Research, 22(8)	e interactions by hybridisation to 8):1365-1367 (1994).	to arrays: the influence of dangling ends or
107	Winkler, J. R., et al., "Electron Transfer in F	Ruthenium-Modified Proteins,"	" Chem. Rev., 92:369-379 (1992).
108	Xu, et al., "Immobilization of DNA on an A Chemiluminescent Detection," J. Am. Chem	Aluminum(III) alkaneobisphosp n. Soc., 116:8386-8387 (1994).	phonate Thin Film with Electrogenerated
109	Xu, et al., "Immobilization and Hybridization Electrogenerated Chemiluminescent Detection	on of DNA on an Aluminum(II. ion," J. Am. Chem. Soc., 117:26	(I) Alkanebisphosphonate Thin Film with 627-2631 (1995).
110	Yang, et al., "Growth and Characterization of Surfaces," J. Am. Chem. Soc., 115:11855-11	of Metal(II) Alkaneobisphospho 1862 (1993).	nonate Multilayer Thin Films on Gold
111		ased on Energy Migration in Co	onjugated Polymers: The Molecular Wire (1995).
EXAMINER	DA	ATE CONSIDERED	

CITATION DISCLOSURES CORMAN APPL BLACK PTO-1449

DOCKET NO.

SERIAL NO. 09/440,371

APPLICANT BLACKBURN et al.

FILING DATE

		A A RATE TO POLITE	FILING DATE November 12, 1999	GROUP 1643				
PATER	* . TO ECT		ng Author, Title, Date, Pertine	nt Pages Ftc)				
	112	Derwent Publications, Ltd. XP0021247 Application." abstract, 11-4-1997.	77. "DNA Detection for Gene An	alysis in Biological and Medical				
	113	Sci. USA, 88:8237-8241 (1991).	Pontius, et al., "Rapid Renaturation of Complementary DNA Strands Mediated by Cationic Detergents: A Role for High-Probability Binding Domains in Enhancing the Kinetics of Molecular Assembly Processes," Proc. Natl. Acad. 1991)					
	114	Pontius, et al., "Renaturation of Comple Nuclear Ribonucleoprotein A1 Protein: Acad. Sci. USA., 87:8403-8407 (1990).	IIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIIII	gby Purified Mammalian Heterogeneous Rapid Molecular Assembly," Proc. Natl.				
	115	Gineitis, et al., "Dissociation and Isolatic System," Analytical Biochemistry, 139:	on of Chromatin Proteins in Salt S 400-403 (1984).					
	116	Kohne, et al., "Room Temperature Meth The Phenol Emulsion Reassociation Tec	nod for Increasing the Rate of DNS:	29-5341 <i>(</i> 1077)				
	117	Caruana, et al., "Enzyme-Amplified Am an 18-Base Oligonucleotide on a 7-μm-I	erometric Detection of Hybridizat Diameter Microelectrode." J. Am	ion and of a Single Base Pair Mutation in				
	118	Albertsson, P. "Kartition Studies on Nuc	leic Acids I. Influence of Electrol	ytes, Polymer Concentration and Nucleic ystem," Biochim. Biophys. Acta., 103:1-12				
	119	Müller, et al., "DNA Fractionation by Tv Biochemistry, 118:269-277 (1981).	wo-Phase Partition with Aid of a E	ase-Specific Macroligand," Analytical				
	120	Müller, W. "Partitioning of Nucleic Acid	ls," Partitioning In Aqueous Two-	Phase Systems, 227, 266 (1095)				
	121	Gingeras, et al., "Hybridization Properite 5390 (1987).	es of Immobilized Nucleic Acids,"	Nucleic Acids Research, 15(13):5373-				
<u> </u>	122	Wetmur, J. "Acceleration of DNA Renati	uration Rates," Biopolymers, 14:2	517-2524 (1975)				
	123	Amasino, R. "Acceleration of Nucleic Ac 152:304-307 (1986).	cid Hybridization Rate by Polyeth	ylene Glycol," Analytical Biochemistry,				
	124			ices," J. Am. Chem. Soc., 119:8916-8920				
	125	Steel, et al., "Electrochemical Quantitatio	on of DNA Immobilized on Gold"	Anal Chem. 70:4670 4677 (1008)				
	126	Electroanalytical Chemistry: A Series of	Steel, et al., "Electrochemical Quantitation of DNA Immobilized on Gold," Anal. Chem., 70:4670-4677 (1998). Tinklea, H. "Electrochemistry of Organized Monolayers of Thiols and Related Molecules of Electrodes," Electroanalytical Chemistry: A Series of Advances, Vol. 20. Dekker, NY. 1966.					
	127	Beattle, et al., "Flowthrough Genosensors: Designs and Applications" Publishing information and Lucian						
	128	Doktycz, et al., "Genosensors and Model Hybridization Studies," Automation Technologies for Genome Characterization, ed. Tony J. Beugelskijk, chapter 10, 205-225 (1997).						
	129	Maldonado-Rodriguez, et al., "Mutation Detection by Stacking Hybridization on Genosensor Arrays," Molecular biotechnology, 11:13-25 (1999).						
	130	Eggers, et al., "Genosensors: Microfabrica Sequencing Technology, 1891:113-126 (1	egers, et al., "Genosensors: Microfabricated Devices for Automated DNA Sequence Analysis," Advances in DNA equencing Technology, 1891:113-126 (1993).					
EXAMINER		D	ATE CONSIDERED					